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Date: October 20, 2006
To: USPTO
Firm:
Tel. #:
Fax #: 1.571.273.8300
From: Laurence P. (Larry) Colton
Your Ref.: 09/990,796
Our Ref.: 148716.00700

Number of pages including this cover sheet 29
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PTO/SB/21 (09-04)

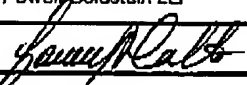
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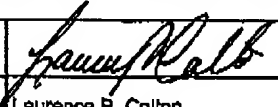
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/990796	RECEIVED CENTRAL FAX CENTER OCT 20 2006
	Filing Date	November 14, 2001	
	First Named Inventor	Focke	
	Art Unit	3721	
	Examiner Name	Truong, Thanh K.	
Total Number of Pages In This Submission	28	Attorney Docket Number	148716.00700 (20805.007US)

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks _____ Please direct any questions to the attorney of record, Laurence P. Colton, at 404.572.6710.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Powell Goldstein LLP		
Signature			
Printed name	Laurence P. Colton		
Date	20 October 2006	Reg. No.	33,371

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:	
Signature	
Typed or printed name	Laurence P. Colton
Date	20 October 2006

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
148716.00700

In Re Application Of:

FOCKE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/990,796	November 14, 2001	Truong, Thanh K.	25207	3721	3093

Title: PROCESS AND APPARATUS FOR PRODUCING PACKS WITH AN OUTER WRAPPER
AS WELL AS A REEL UNITRECEIVED
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Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

P10A/REV05

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**Docket No.
148716.00700In Re Application Of:
FOCKE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/990,796	November 14, 2001	Truong, Thanh K.	25207	3721	3093

Invention: PROCESS AND APPARATUS FOR PRODUCING PACKS WITH AN OUTER WRAPPER AS WELL AS A REEL UNIT

**RECEIVED
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This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: 20 October 2006

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20 October 2006

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Laurence P. Colton

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Approved for use through 07/31/2008. OMB 0831-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1
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of 11

Complete if Known

Application Number	09/990796
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Filing Date	November 14, 2001
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First Named Inventor	Focke
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Art Unit

3721

OCT 20 2006

Examiner Name	Truong, Thanh K.
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Attorney Docket Number	148716.00700
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T*
		Country Code ² Number ⁴ Kind Code ⁵ (if known)				
		JP 2000-229606	08-22-2000	KOKAI		
		JP 56-032218	04-01-1981	KOKAI		
		JP 06-144424	05-24-1994	KOKAI		
		JP 58-201611	11-24-1993	KOKAI		
		JP 2000-191196	07-11-2000	KOKAI		
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Signature**

Date _____

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09/990796
		Filing Date	November 14, 2001
		First Named Inventor	Focke
		Art Unit	3721
		Examiner Name	Truong, Thanh K.
Sheet 1	of 1	Attorney Docket Number	148716.00700

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 606. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.09. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 422 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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